



UNITED STATES PATENT AND TRADEMARK OFFICE

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01/02/02

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20590  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/957,017	09/20/2001	Molly Kestner Barksdale	AUS920010688US1

CONFIRMATION NO. 2454

FORMALITIES LETTER



\*OC00000006934810\*

28722  
BRACEWELL & PATTERSON, L.L.P.  
7600B NORTH CAPITAL OF TEXAS HIGHWAY  
SUITE 350  
AUSTIN, TX 78731-1168

Date Mailed: 10/19/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - Drawings must be reasonably free from erasures and must be free from alterations, overwritings, interlineations, folds, and copy marks.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



0300  
8/13

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

**BARKSDALE ET AL.**

Serial No. 09/957,017

Filed: September 20, 2001

For: **SYSTEM AND METHOD FOR  
STATUS OF MULTIPLE FEATURES  
OF A DATA PROCESSING SYSTEM**

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Docket No. AUS920010688US1

Examiner: UNKNOWN

COPY OF PAPERS  
ORIGINALLY FILED

01/08/02

Art Unit: UNKNOWN

**RESPONSE TO REQUEST FOR SUBSTITUTE PAPERS**

Responsive to the Request for Substitute Papers mailed on March 22, 2002, enclosed are copies of the following:

- (1) The return postcard;
- (2) Response to Notice to File Corrected Application Papers;
- (3) Eleven (11) sheets of formal drawings; and
- (4) Part 2 - return copy.

<b>CERTIFICATE OF MAILING</b> 37 C.F.R. §1.8(A)	
I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service as First Class Mail in an envelope addressed to Assistant Commissioner for Patents, Washington, D. C. 20231.	
<u>3/26/02</u> Date	<u><i>Karen DeLeon</i></u> Signature <i>Karen DeLeon</i>

No fee is believed to be necessary; however, in the event that a fee is required, please charge it to **IBM Deposit Account Number 09-0447**.

Respectfully submitted,

*Brian F. Russell*  
Brian F. Russell  
Registration No. 40,796  
BRACEWELL & PATTERSON, L.L.P.  
Suite 350, Lakewood on the Park  
7600B North Capital of Texas Highway  
Austin, Texas 78731  
(512) 343-6116  
ATTORNEY FOR APPLICANT



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231  
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Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/957,017	09/20/2001	Barksdale, Molly	AUS920010688US1

28722

BRACEWELL & PATTERSON, L.L.P.  
7600B NORTH CAPITAL OF TEXAS HIGHWAY  
SUITE 350  
AUSTIN TX 78731-1168

**Title:** System and method for indicating a status of multiple features of a data processing system

Date Mailed: 03/22/2002

## Request for Substitute Papers

The papers filed on 01/03/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated **703-746-4060(OIPE)**.

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO **strongly** prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely replay to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. **A copy of this notice should be included with the reply.**

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The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: \_\_\_\_\_ Registration No.: \_\_\_\_\_

Signature: \_\_\_\_\_ Date: \_\_\_\_\_



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
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The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: BRIAN F. RUSSELL Registration No.: 40,796

Signature: Brian F. Russell Date: 3/26/02

Received in the U.S.P.T.O.

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

In re Application of: Molly Kestner Barksdale, et al.

Title: **System and Method for Indicating a Status of Multiple Features of a Data Processing System**

Attorney Docket No.: AUS920010688US1

ENCLOSED HEREWITH:

1. This return receipt postcard
2. Response to Notice to File Corrected Application Papers
3. Eleven (11) sheets of formal drawings
4. Part 2 of the Notice to File Corrected Application Papers
5. Certificate of Mailing

**IBM CORPORATION**

Our File No. 0116AD-AUS920010688US1 (045594)

Mailed: November 13, 2001

BFR:dej

CC: IBM

Received in the U.S.P.T.O.

**RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS**

In re Application of: Molly Kestner Barksdale, et al.

Title: **System and Method for Indicating a Status of Multiple Features of a Data Processing System**

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**IBM CORPORATION**

Our File No. 0116AD-AUS920010688US1 (045594)

Mailed: November 13, 2001

BFR:dej

